



# TRANSMITTAL FORM

|                           |                  |
|---------------------------|------------------|
| Application Serial Number | 10/700,349       |
| Filing Date               | November 3, 2003 |
| First Named Inventor      | Haugs            |
| Confirmation No.          | 5257             |
| Group Art Unit            | 2832             |
| Examiner Name             | Not yet assigned |
| Attorney Docket No.       | MAG-004          |
| Patent No.                | Not applicable   |
| Issue Date                | Not applicable   |

## ENCLOSURES (check all that apply)

|   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul>  | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application <ul style="list-style-type: none"> <li><input type="checkbox"/> Formal Drawing(s)</li> </ul>  | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <ul style="list-style-type: none"> <li><input type="checkbox"/> Appeal Brief (in triplicate)</li> </ul>  |
| <input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> Preliminary</li> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]</li> </ul> | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)</li> </ul>   | <input type="checkbox"/> Status Inquiry <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Return Receipt Postcard</li> <li><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8</li> </ul> |
| <input type="checkbox"/> Petition for Extension of Time   | <input type="checkbox"/> Terminal Disclaimer <ul style="list-style-type: none"> <li><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application</li> </ul>  | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <ul style="list-style-type: none"> <li><input type="checkbox"/> Additional Enclosure(s) (please identify below)</li> </ul>   |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Form PTO-1449</li> <li><input checked="" type="checkbox"/> Copies of IDS Citations C1 and C2</li> </ul>  | <input type="checkbox"/> Small Entity Statement <ul style="list-style-type: none"> <li><input type="checkbox"/> CD(s) for large table or computer program</li> </ul>   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> Amendment After Allowance <ul style="list-style-type: none"> <li><input type="checkbox"/> Request for Certificate of Correction               <ul style="list-style-type: none"> <li><input type="checkbox"/> Certificate of Correction (in duplicate)</li> </ul> </li> </ul> |   |
| <input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <li><input type="checkbox"/> Paper Copy/CD</li> <li><input type="checkbox"/> Computer Readable Copy</li> <li><input type="checkbox"/> Statement verifying identity of above</li> </ul>  |  |   |

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,

Date: November 15, 2004  
 Reg. No.: 46,667  
 Tel. No.: (617) 310-8411  
 Fax No.: (617) 248-7100

Robert V. Donahoe  
 Attorney for Applicants  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
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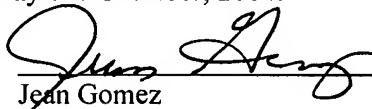
*IFW*  
PATENT  
Attorney Docket No. MAG-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Haugs et al. CONFIRMATION NO.: 5257  
SERIAL NO.: 10/700,349 GROUP NO.: 2832  
FILING DATE: November 3, 2003 EXAMINER: Not yet assigned  
TITLE: Coupling Device

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15<sup>th</sup> day of November, 2004.

  
Jean Gomez

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (2 pgs.);
3. PTO Form 1449 (1 pg.);
4. Cited References C1 and C2; and
5. a return receipt postcard.

3139107



**PATENT**  
Attorney Docket No. MAG-004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Haugs et al. CONFIRMATION NO.: 5257  
SERIAL NO.: 10/700,349 GROUP NO.: 2832  
FILING DATE: November 3, 2003 EXAMINER: Not yet assigned  
TITLE: Coupling Device

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

### **REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or

(2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

the requisite Statement is below, **OR**

the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- (3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, **AND**
  - the requisite Statement is below, **AND**
  - the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Robert V. Donahoe  
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Reg. No.: 46,667

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Fax No.: (617) 248-7100

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SHEET 1 OF 1

FORM PTO - 1449

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: MAG-004

APPLICANTS: Haugs et. al.

SERIAL NO.: 10/700,349

FILING DATE: November 3, 2003

CONFIRMATION NO.: 5257

GROUP: 2832

U.S. PATENT DOCUMENTS

| EXAM.<br>INIT. |    | DOCUMENT<br>NUMBER | DATE   | NAME                | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|----------------|----|--------------------|--------|---------------------|-------|--------------|-------------------------------|
|                | A2 | 6,429,765 B1       | 8/6/02 | Valdemarsson et al. | 336   | 212          | 5/16/97                       |
|                |    |                    |        |                     |       |              |                               |
|                |    |                    |        |                     |       |              |                               |

FOREIGN PATENT DOCUMENTS

| EXAM.<br>INIT. |  | DOCUMENT<br>NUMBER | DATE | COUNTRY<br>CODE | CLASS | SUB<br>CLASS | FILING<br>DATE | ABSTRACT<br>ONLY | ENGLISH<br>LANG<br>(Y/N) |
|----------------|--|--------------------|------|-----------------|-------|--------------|----------------|------------------|--------------------------|
|                |  |                    |      |                 |       |              |                |                  |                          |
|                |  |                    |      |                 |       |              |                |                  |                          |
|                |  |                    |      |                 |       |              |                |                  |                          |
|                |  |                    |      |                 |       |              |                |                  |                          |

OTHER ART, JOURNAL ARTICLES, ETC.

| EXAM.<br>INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) |   |
|----------------|--|---|
|                | C1   | Copy of International Search Report PCT/NO 02/00004, Mailing Date May 16, 2003. |
|                | C2   | Copy of Norwegian Search Report 2002 5268, Mailing Date June 30, 2003.          |
|                |  |   |

EXAMINER

DATE CONSIDERED

3139178